



DT02 Rec'd PCT/PTO 28 OCT 2004

PCT

10/506712
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of
Lee Underwood et al.

Attorney Docket:
8830-294 (200564)

Serial No. 10/506,712 ✓

Group Art Unit: Not Assigned

Filed: September 7, 2004

Examiner: Not Yet Assigned

For: Water Filter And Treatment System
and Component

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, the following references listed below and on the accompanying Form PTO-1449 are being identified in this application.

This Information Disclosure Statement is being filed before the mailing of a first office action on the merits. Accordingly, no certification, petition, or fee are required. 37 C.F.R. §1.97(b)(1).

CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service, on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Karen M. McAuley

Signature of Person Mailing Paper

October 26, 2004

Date

U.S. Patents

5,179,281	5,674,381
5,192,424	6,040,777
5,354,979	6,051,144
5,468,968	6,551,503
5,536,395	6,558,444

The Examiner is respectfully requested to review the references identified herein and make them of record in the instant application as required by M.P.E.P. §609.

The references listed in this IDS comprises the most pertinent prior art known to applicant and its attorneys as of the date hereof. This IDS should not be construed as a representation that the cited references are material or that no better art exists.

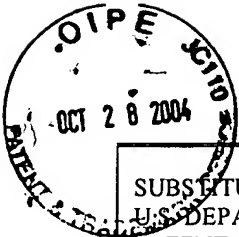
It is requested that the Examiner initial the enclosed duplicate substitute PTO Form 1449, and return one copy to Applicants' undersigned representative with the next action.

Respectfully submitted,
LEE UNDERWOOD ET AL.

By 

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SUBSTITUTE FORM PTO-1449
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
8830-294 (200564)

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INFORMATION DISCLOSURE CITATION

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	5,179,281	01/1993	Tawil et al.			
	AB	5,192,424	03/1993	Beyne et al.			
	AC	5,354,979	10/1994	Adelson et al.			
	AD	5,468,968	11/1995	Bailey et al.			
	AE	5,536,395	07/1996	Kuennen et al.			
	AF	5,674,381	10/1997	Den Dekker			
	AG	6,040,777	03/2000	Ammann et al.			
	AH	6,051,144	04/2000	Clack et al..			
	AI	6,551,503	04/2003	Niers et al.			
	AJ	6,558,444	05/2003	Hunter			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

SUBSTITUTE FORM PTO-1449
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